

MM 17-28-99



08-03-1999

U.S. Department of Commerce  
Patent and Trademark Office  
**TRADEMARK**



07-28-1999

U.S. Patent & TMO/TM Mail Rcpt Dt. #01

101108317

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID #
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☐ Assignment ☐ License
- ☐ Security Agreement ☐ Nunc Pro Tunc Assignment
- ☐ Merger
- ☐ Change of Name
- ☒ Other
- Effective Date  
Month Day Year

**Conveying Party**

☐ Mark if additional names of conveying parties attached

Name

Execution Date  
Month Day Year

Formerly

- ☐ Individual ☐ General Partnership ☐ Limited Partnership ☒ Corporation ☐ Association
- ☐ Other

☒ Citizenship/State of Incorporation/Organization

**Receiving Party**

☒ Mark if additional names of receiving parties attached

Name

DBA/AK/A

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

☐ Individual ☐ General Partnership ☒ Limited Partnership

☐ Corporation ☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

08/02/1999 DNGUYEN 00000278 200052 1959863

FOR OFFICE USE ONLY

01 FC:481 40.00 CH  
02 FC:482 25.00 CH

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 001938 FRAME: 0171

RECORDATION FORM COVER SHEET  
CONTINUATION  
TRADEMARKS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
TRADEMARK

**Conveying Party**

Enter Additional Conveying Party

☐ Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

**Receiving Party**

Enter Additional Receiving Party

☒ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

Healdsburg

City

California

State/Country

95448

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☒ Corporation ☐ Association

☐ Other

☒ Citizenship/State of Incorporation/Organization

California

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment.)

**Trademark Application Number(s) or Registration Number(s)**

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)



RECORDATION FORM COVER SHEET  
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☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

☐ Mark if additional names of receiving parties attached

Name

William R. Hambrecht, as trustee of The Hambrecht 1980 Revocable Trust

DBA/AKA/TA

Composed of

Address (line 1)

4035 Westside Road

Address (line 2)

Address (line 3)

Healdsburg

City

California

State/Country

95448

Zip Code

☐ Individual ☐ General Partnership ☐ Limited Partnership

☐ Corporation ☐ Association

☒ Other The Hambrecht 1980 Revocable Trust

☐ Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment.)

Trademark Application Number(s) or Registration Number(s)

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

☐ Mark if additional numbers attached

Trademark Application Number(s)

Registration Number(s)


**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

415/398-4700

Name

Randy Rogers, Esq.

Address (line 1)

Murphy, Sheneman, Julian & Rogers

Address (line 2)

101 California Street

Address (line 3)

39th Floor

Address (line 4)

San Francisco, CA 94111

**Pages**

Enter the total number of pages of the attached conveyance document  
including any attachments.

#

2

**Trademark Application Number(s) or Registration Number(s)**

☐

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

1,959,863

1,563,665

**Number of Properties**

Enter the total number of properties involved.

#

2

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

65<sup>00</sup>

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

20-0052

Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Randy Rogers

Name of Person Signing

Signature

Date Signed

Christina E Wilson

Christina E Wilson

7/27/99

**ASSIGNMENT AND RELEASE OF  
ASSIGNMENT FOR SECURITY  
(TRADEMARKS AND TRADEMARK LICENSES)**

**RECITALS**

A. Pursuant to that certain Assignment for Security (Trademarks and Trademark Licenses), dated as of July 15, 1998, and recorded with the U.S. Patent and Trademark Office on July 22, 1998, at Reel 1769, commencing at Frame 0663, by Belvedere Winery, L.P., a California limited partnership, Belvedere Wine Company, a California corporation, and William R. Hambrecht, as trustee of The Hambrecht 1980 Revocable Trust, and not in his individual capacity, collectively as Borrower, in favor of The Travelers Insurance Company, a Connecticut corporation, as Lender, Borrower granted to Lender a security interest in and lien on all of Borrower's interest in and to the Trademark Collateral (as defined therein).

B. Lender desires to release its security interest in and lien on the Trademark Collateral.

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Lender hereby releases its security interest in and lien on the Trademark Collateral including, without limitation, the trademarks set forth in the attached Schedule 1, and reassigns to Borrower, without representation or warranty, express or implied, and without recourse, all right, title and interest of Lender in and to Borrower's interest in such Trademark Collateral.

Dated: June 9, 1999

**THE TRAVELERS INSURANCE COMPANY**

By: David E. Dunlap  
Name: DAVID E. DUNLAP  
Title: Vice President

# **SCHEDULE 1**

(Trademarks)

<b><u>Mark</u></b>	<b><u>Registration No.</u></b>	<b><u>Registration Date</u></b>
Belvedere	1,563,665	10/31/89
Grove Street	1,959,863	03/05/96